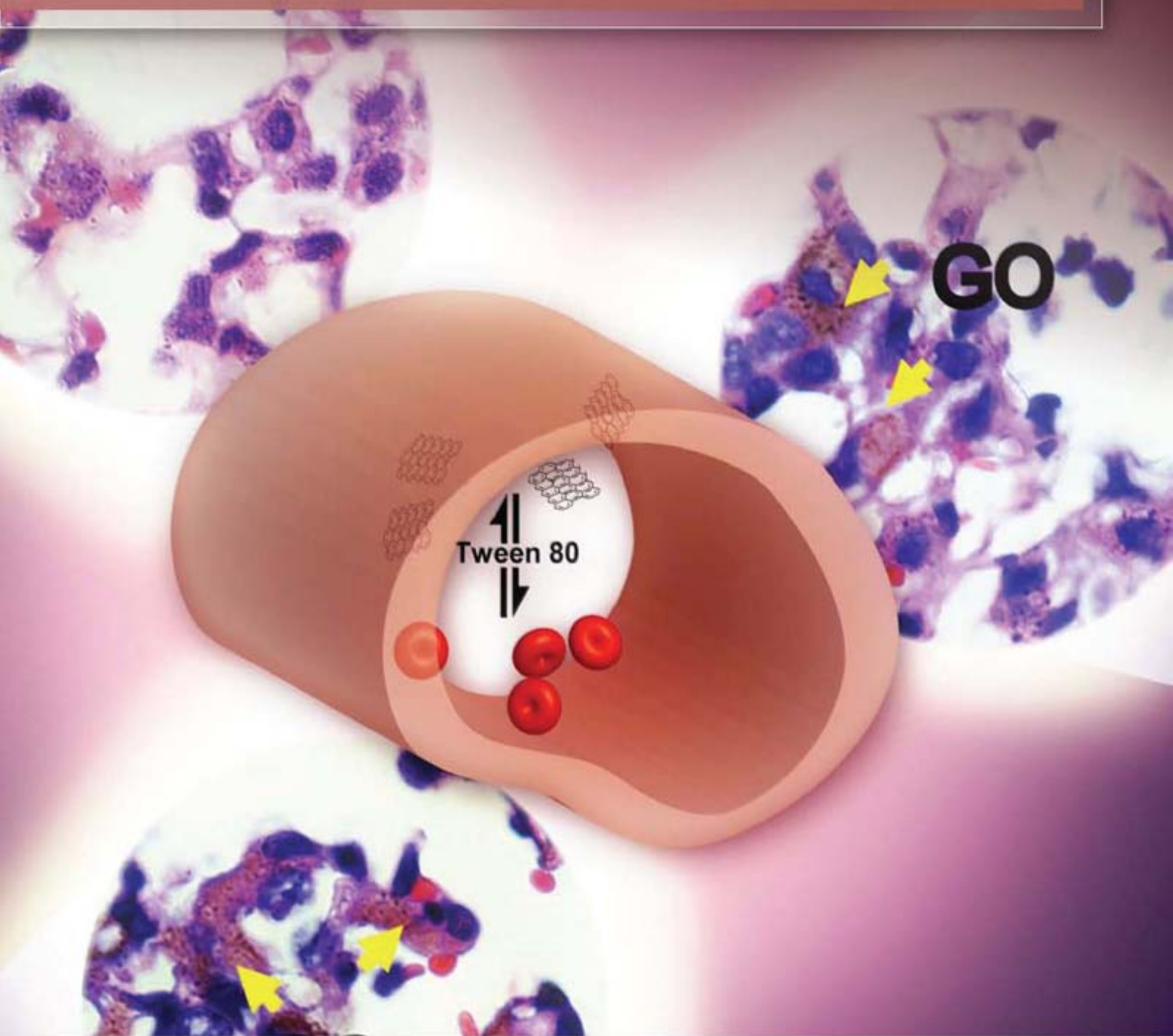


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## Flocculating characteristic of activated sludge flocs: Interaction between $\text{Al}^{3+}$ and extracellular polymeric substances

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### Abstract

Aluminum flocculant can enhance the flocculating performance of activated sludge. However, the binding mechanism of aluminum ion ( $\text{Al}^{3+}$ ) and extracellular polymeric substances (EPS) in activated sludge is unclear due to the complexity of EPS. In this work, three-dimensional excitation emission matrix fluorescence spectroscopy (3DEEM), fluorescence quenching titration and Fourier transform infrared spectroscopy (FT-IR) were used to explore the binding behavior and mechanism between  $\text{Al}^{3+}$  and EPS. The results showed that two fluorescence peaks of tyrosine- and tryptophan-like substances were identified in the loosely bound-extracellular polymeric substances (LB-EPS), and three peaks of tyrosine-, tryptophan- and humic-like substances were identified in the tightly bound-extracellular polymeric substances (TB-EPS). It was found that these fluorescence peaks could be quenched with  $\text{Al}^{3+}$  at the dosage of 3.0 mg/L, which demonstrated that strong interactions took place between the EPS and  $\text{Al}^{3+}$ . The conditional stability constants for  $\text{Al}^{3+}$  and EPS were determined by the Stern-Volmer equation. As to the binding mechanism, the  $-\text{OH}$ ,  $\text{N}-\text{H}$ ,  $\text{C}=\text{O}$ ,  $\text{C}-\text{N}$  groups and the sulfur- and phosphorus-containing groups showed complexation action, although the groups in the LB-EPS and TB-EPS showed different behavior. The TB-EPS have stronger binding ability to  $\text{Al}^{3+}$  than the LB-EPS, and TB-EPS play an important role in the interaction with  $\text{Al}^{3+}$ .

**Key words:** extracellular polymeric substances; activated sludge; aluminum ion; three-dimensional excitation emission matrix; fluorescence quenching; Fourier transform infrared spectroscopy

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### Introduction

The activated sludge process is the main wastewater treatment process in the world. The structural composition of activated sludge flocs can be mainly categorized as microorganisms, extracellular (More et al., 2012). Extracellular polymeric substances (EPS) are a kind of polymeric material produced by microorganisms under certain environmental conditions. It is known that EPS are responsible for protecting microorganisms in sludge flocs from external environment changes and also provide the material for binding cells and other particulate materials together and stabilizing the sludge floc structure (Liu et al., 2007; Martinez et al., 2004). EPS in activated sludge has a double layer structure with rheological properties, divided into loosely-bound EPS (LB-EPS) and tightly-bound EPS (TB-EPS) (Shao et al., 2010). The LB-EPS are located on the outer part of sludge floc at low density with rheological properties, and the TB-EPS are closely attached to the cell

wall at high density.

Aluminum flocculants (poly-aluminum and aluminum chloride) are typically used to improve the settling and dewatering properties of activated sludge (Agridiotis et al., 2007; Park et al., 2010). In addition, aluminum salts can help to aid in removal of phosphorus and suspended solids (Auvray et al., 2006; Omoike and VanLoon, 1999). Therefore, aluminum ion ( $\text{Al}^{3+}$ ) is widely distributed in sludge flocs. Now a growing number of researchers have noticed that the EPS is responsible for the movement and transformation of metal ions in wastewater treatment systems. Some researchers found that EPS has a huge surface area and a negative charge on its surface, and can undergo complexation reactions with a variety of metal ions, such as Cd, Ni, and Zn (Guibaud et al., 2009; Zheng et al., 2008). It has been reported that the content of  $\text{Al}^{3+}$  in the TB-EPS fraction has a higher ratio compared to other fractions in activated sludge. The concentration of  $\text{Al}^{3+}$  in TB-EPS and LB-EPS is 4.99 and 0.03 mg/L respectively, and the quantity of  $\text{Al}^{3+}$  in the TB-EPS accounts for 97.5% of the total content of  $\text{Al}^{3+}$  in activated sludge (Yu et

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al., 2009). However, till now the binding properties and the binding mechanism of  $\text{Al}^{3+}$  and EPS have remained unclear because of the complexity of EPS. Data on the binding constants of EPS with  $\text{Al}^{3+}$  are still not available.

Three-dimensional excitation emission matrix fluorescence spectroscopy (3DEEM), also known as excitation-emission matrix, provides a versatile, rapid, and sensitive method for studying fluorescent organic compounds, and provides the spectra of fluorescence intensity changes with excitation (Ex) and emission (Em) wavelength changes simultaneously (Yamashita and Tanoue, 2003). In addition, 3DEEM can identify and characterize a multi-component complex system of overlapping objects in fluorescence spectra with high selectivity, high information content, and without destroying the sample structure (Shao et al., 2010). At present, 3DEEM has been successfully applied for analyzing some water components and polymers with metal cations such as the interaction properties of algal biofilm extracellular polymers with  $\text{Hg}^{2+}$  (Zhang et al., 2010), the binding behavior of humic substances with  $\text{Hg}^{2+}$  (Chai et al., 2012), and the interaction of acetamiprid with extracellular polymeric substances from activated sludge (Song et al., 2010).

The objective of this study is to investigate the binding behavior and mechanism of  $\text{Al}^{3+}$  with LB-EPS/ TB-EPS. The binding capacities and stability constants of EPS-Al were also determined by fluorescence quenching titration and analysis using the modified Stern-Volmer equation. Finally, the mechanism for the interaction of EPS-Al was also characterized by Fourier transform infrared spectroscopy (FT-IR). The extended knowledge presented here has significance for understanding the nature of EPS from activated sludge and the function of aluminum salts in activated sludge treatment systems.

## 1 Materials and methods

### 1.1 Extraction of EPS and determination of EPS components

Activated sludge was obtained from the Qinghe Municipal Sewage Treatment Plant in Beijing, China. The LB-EPS and TB-EPS were extracted following previous research (Yu et al., 2009), with minor modification. Briefly, the original sludge was washed with Milli-Q water and then centrifuged at  $4000 \times g$  for 5 min. It was resuspended in warm 0.05% NaCl (W/V) solution at  $50^\circ\text{C}$  and then sheared by a vortex mixer (Maxi Mix II, Thermolyne) for 1 min. The sample was centrifuged at  $4000 \times g$  for 10 min and the resulting supernatant liquid was regarded as the LB-EPS. The residue was re-suspended to the original volume using 0.05% NaCl (W/V), heated to  $60^\circ\text{C}$  in a water bath for 30 min, and then centrifuged at  $4000 \times g$  for 15 min. The extracted fraction in the supernatant was regarded as the TB-EPS. Both the LB-EPS and TB-EPS extraction solutions were analyzed by a total organic

carbon (TOC) analyzer (TOC-5000A, Shimadzu, Japan) to quantify TOC concentration. The protein content was determined by the modified Lowry method with bovine serum albumin as the standard (Frolund et al., 1995). Carbohydrate content was measured using the Anthrone method with glucose as the standard (Trevelyan et al., 1952).

### 1.2 Fluorescence measurements

The 3DEEM spectra of the LB-EPS and TB-EPS solution were carried out with a fluorescence spectrophotometer (F-4500, Hitachi, Japan) using a 150 W xenon arc lamp as the excitation source. The 3DEEM spectra were collected at 5 nm increments over an excitation wavelength range  $\lambda_{\text{Ex}} = 200\text{--}450$  nm, with an emission wavelength range  $\lambda_{\text{Em}} = 250\text{--}600$  nm every 2 nm. The excitation and emission slits were set to 5 and 10 nm of band-pass, respectively. The scan speed was 2400 nm/min and the response time was automatic. The extracted LB-EPS and TB-EPS were diluted and the samples placed in a 1 cm quartz cell before fluorescence scanning. The 3DEEM data was processed using the software FL Solutions Ver 2.0 (Hitachi, Japan). All experiments were conducted in duplicate and the mean values were used.

### 1.3 Influence of pH on fluorescence properties

Ten milliliters each of the LB-EPS and TB-EPS solutions in 50 milliliter glass vials were used to measure fluorescence in the presence of 3.0 mg/L of  $\text{Al}^{3+}$  in the samples at various pH. The pH of the EPS solution was adjusted in the range of 2.0 to 13.0 by adding high concentrations of KOH and  $\text{HNO}_3$  solution with an automatic syringe to avoid the effects of concentration dilution.

### 1.4 Fluorescence quenching titration

Three milliliters of EPS solution (7.5 mg/L) in a 22 mL glass vial was titrated with incremental additions of 0.1 mol/L  $\text{AlCl}_3$  solution at room temperature. By adding  $\text{AlCl}_3$  solution, the  $\text{Al}^{3+}$  concentration in glass vials was varied from 3 to 150  $\mu\text{mol/L}$ . The pH of the sample solution was adjusted to  $\text{pH } 4.00 \pm 0.05$  by the addition of 0.1 mol/L HCl or 0.1 mol/L NaOH, with the added reagent not exceeding 50  $\mu\text{L}$ . These samples were placed in darkness and shaken for 24 hr; after that, the 3DEEM and synchronous fluorescence emission spectra were measured using a fluorescence spectrophotometer (F-4500, Hitachi, Japan). The synchronous fluorescence emission spectra were obtained with fixed excitation wavelength 230, 280, and 350 nm, and fluorescence emission in the range of 250–450 nm and 5 nm slit widths.

### 1.5 Infrared sample preparation

FT-IR spectroscopy determined the infrared absorption spectrum of the samples, which provided a variety of structure information about the vibration and reactivity of



functional groups of the molecules in EPS. After the complexation reaction between  $\text{Al}^{3+}$  and LB-EPS/TB-EPS, the samples were lyophilized, then ground into powder in the light of a 60 W infrared lamp. The samples were mixed with potassium bromide (KBr) at a mass ratio of 1:150 and compressed into a thin disc using a hydraulic press at 8 MPa pressure. The spectral analysis of the composites was carried out using an FT-IR spectrometer (TENSOR 27, Bruker) in the range of 400–4000 nm. Infrared spectral data analysis was processed using Origin 7.5 software.

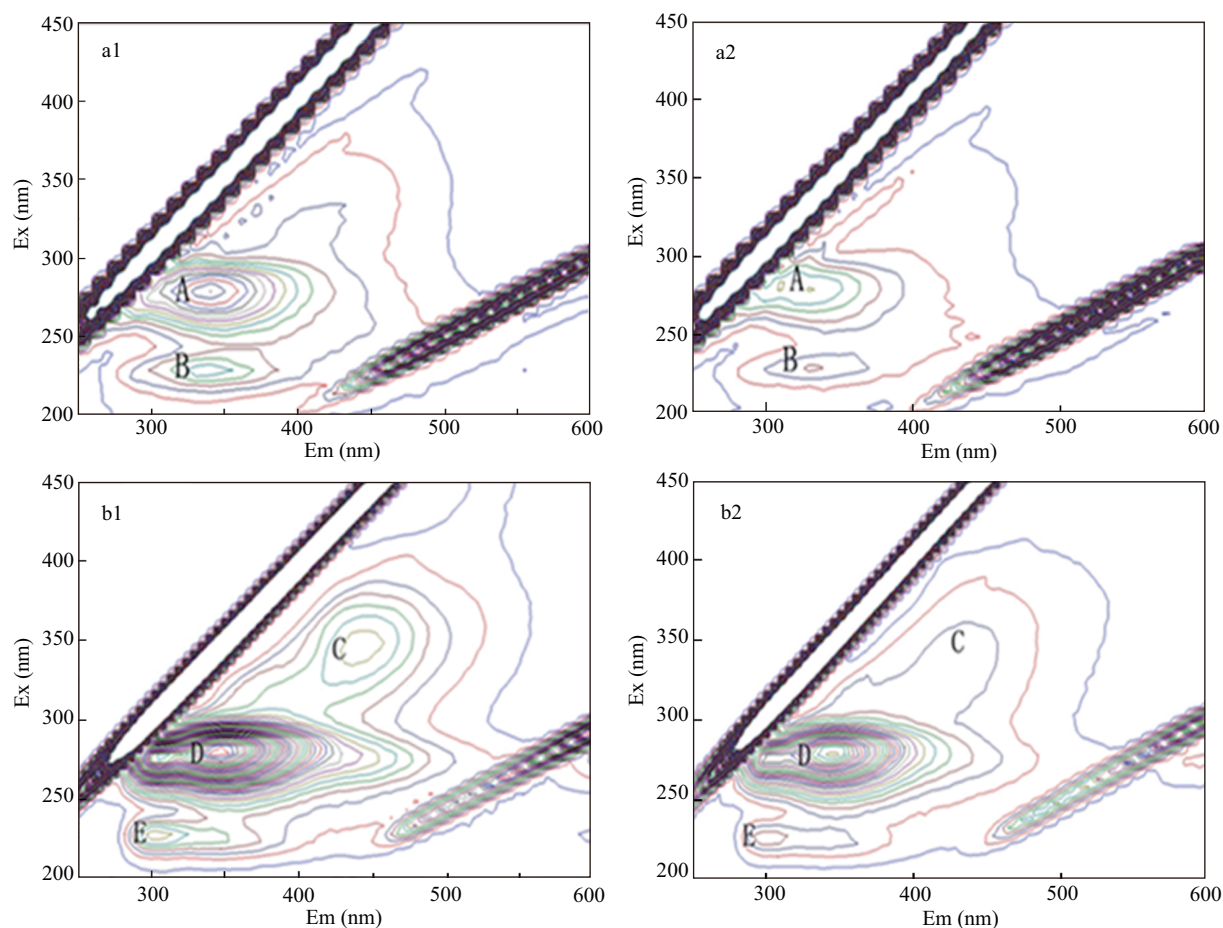
## 2 Results and discussion

### 2.1 Fluorescence characterization of LB-EPS and TB-EPS

The 3DEEM spectra of the LB-EPS and TB-EPS in the absence of  $\text{Al}^{3+}$  are shown in **Fig. 1**. Two distinct fluorescence peaks (A and B) were identified in the 3DEEM spectra of the LB-EPS sample (**Fig. 1a1**), while three distinct fluorescence peaks (C, D, and E) were identified in the TB-EPS sample (**Fig. 1b1**). The excitation and emission wavelengths showed that peak A and peak D were in the same location, and peak B and peak E were basically in

the same site. However, TB-EPS had a fluorescence peak C, which LB-EPS did not have. According to previous studies, peak A (Ex/Em = 230/300 nm) and peak D (Ex/Em = 230/300) were identified as tyrosine-like substances; peak B (Ex/Em = 280/350) and peak E (Ex/Em = 280/350) were identified as tryptophan-like substances; and peak C (Ex/Em = 350/440) was identified as visible region humus-like substances (Fellman et al., 2009; Flemming and Wingender, 2001).

The fluorescence intensities of peak A and peak D were significantly higher than the other fluorescence peaks, which may be attributable to the higher concentrations of protein contained in the EPS. The contents of protein and polysaccharides in TB-EPS (247.56 mg/g EPS, and 132.67 mg/g EPS) were much higher than those in LB-EPS (94.82 mg/g EPS and 73.86 mg/g EPS). Besides, the proteins/polysaccharides ratio for TB-EPS was 1.87, which was higher than the ratio for LB-EPS (1.30). Due to some proteins and amino acids, such as tryptophan and phenylalanine, which have strong fluorescent effects, peak A and peak D were the main fluorophores in the EPS sample (Wu and Tanoue, 2001). The fluorescence intensity of peak C was much less than the other four peaks, which signified that the amount of humus-like substances was



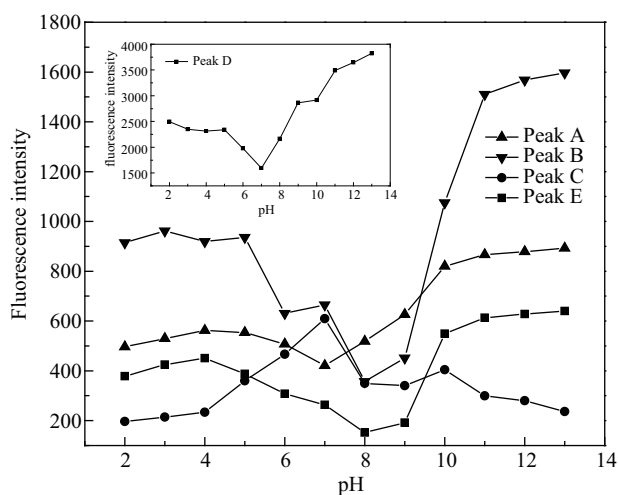
**Fig. 1** Typical 3DEEM spectra of LB-EPS (a) and TB-EPS (b) in the absence (a1 and b1) and presence (a2 and b2) of  $\text{Al}^{3+}$  ( $1.2 \times 10^{-5}$  mol/L) at pH 4.0. Peaks A and D: tyrosine-like substances; peaks B and E: tryptophan-like substances; peak C: humus-like substances.

much less than the other protein class substances.

## 2.2 EPS fluorescence quenching by $\text{Al}^{3+}$

As shown in 3DEEM of the LB-EPS and TB-EPS, the excitation wavelengths of the five fluorescence peaks were 230 nm (peak A and peak D), 280 nm (peak B and peak E) and 350 nm (peak C), so the synchronous fluorescence emission spectra were set with fixed excitation wavelengths of 230, 280, and 350 nm, thus to investigate the binding behavior of  $\text{Al}^{3+}$  and LB-EPS/ TB-EPS. The significant fluorescence quenching of the five fluorescence peaks for EPS in the presence of  $\text{Al}^{3+}$  are shown in **Fig. 1a2** and **1b2**. The fluorescence intensities decreased for both LB-EPS and TB-EPS on addition of  $\text{Al}^{3+}$ , indicating that  $\text{Al}^{3+}$  could interact with EPS and quench their intrinsic fluorescence. The quenching process always results from complexation between the fluorophore and quencher (Chai et al., 2012).

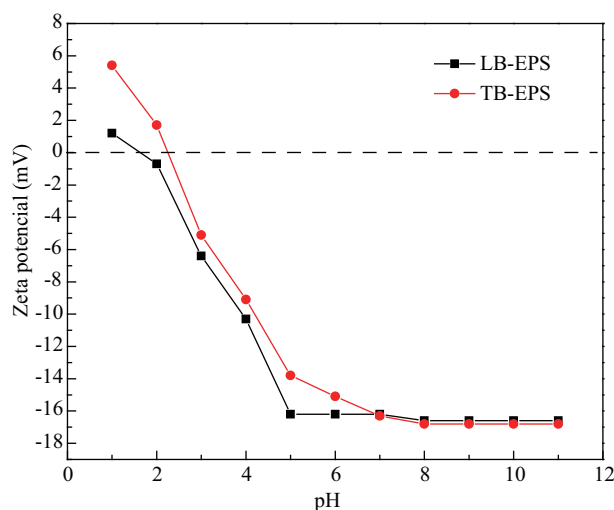
Due to the speciation of  $\text{Al}^{3+}$ , deprotonation of the functional groups of the polymer and the secondary structure of the protein are all influenced by the pH value. Thus, it was important to probe the fluorescence quenching titration of  $\text{Al}^{3+}$  under various pH conditions. As seen in **Fig. 2**, the fluorescence intensities of the five peaks in the 3DEEM spectra were strongly influenced by pH changes when the concentration of  $\text{Al}^{3+}$  was  $1.2 \times 10^{-5}$  mol/L. The insert figure shows peak D for the fluorescence intensity, which was much stronger than the other four peaks. When pH increased from 2.0 to 4.0, the fluorescence intensities of peaks A, B, D, and E showed a downward trend, but the trend was not obvious; and when pH increased from 4.0 to 6.0, the fluorescence intensities of these four peaks decreased rapidly, indicating that  $\text{Al}^{3+}$  had strong interaction with protein-like substances. However, peak C showed a different trend compared to the other peaks. The fluorescence intensity of peak C increased with pH increas-



**Fig. 2** Effect of solution pH on fluorescence intensity of  $\text{Al}^{3+}$  and LB-EPS/TB-EPS ( $\text{Al}^{3+}$ :  $1.2 \times 10^{-5}$  mol/L). Values represent mean values of two independent measurements.

ing from 5.0 to 7.0, indicating that humic-like substances had a relatively weak interaction with  $\text{Al}^{3+}$  when pH ranged from 5.0 to 7.0. When pH further increased from 7.0 to 13.0, the fluorescence intensity of peak C displayed a downward trend, but the fluorescence intensities of peak A, B, D, and E increased gradually.

In aqueous solution, pH is one of the main parameters that influence the form of  $\text{Al}^{3+}$ . In general, when pH is between 2.0 to 4.0, Al exists mainly as free  $\text{Al}^{3+}$  ions; when pH is between 4.0 to 7.0, Al exists in the form of cations such as  $\text{Al}^{3+}$ ,  $\text{Al}(\text{OH})^{2+}$ , and  $\text{Al}_8(\text{OH})_{20}^{4+}$ ; when pH is between 7.0 to 9.0, Al exists mainly in the form of anions, such as  $\text{Al}(\text{OH})_4^-$ ; and when pH exceeds 9.0,  $\text{Al}^{3+}$  hydrolyses into  $\text{AlO}_2^-$ , which has weak complexation with fluorophores (Shen, 2002). This could explain why the protein-like substances in the LB-EPS and TB-EPS had strong complexation with  $\text{Al}^{3+}$  in the pH range 4.0 to 7.0. The relatively strong fluorescence quenching effects observed in the pH range 4.0 to 7.0 may be caused by the competition among  $\text{Al}^{3+}$ ,  $\text{Al}(\text{OH})^{2+}$ , and  $\text{Al}_8(\text{OH})_{20}^{4+}$  for complexation with the polymer functional groups. Because protein is the principal structural component of EPS, the isoelectric point of EPS is always in the acid pH range. As the zeta potential plot of the LB-EPS and TB-EPS solutions shows in **Fig. 3**, the isoelectric points were all at about pH 2.0. The zeta potential value decreased with increasing pH and gradually became stable at pH 6.0–13.0. With the pH moves away from the isoelectric point, the compact structure of EPS may become weak due to electrostatic repulsion and structural rearrangements, further leading to swelling and the release of chains, thus increasing the availability of binding sites to  $\text{Al}^{3+}$  (Wang et al., 2012). For peak A, B, D, and E, which belong to protein-like substances, the downward fluorescence intensity trend may be partly for the above-mentioned reason. However, in pH 8.0–13.0, the hydrolyzate of  $\text{AlO}_2^-$  from  $\text{Al}^{3+}$ , which has low affinity with fluorophores, was the main reason for



**Fig. 3** Zeta potential plot of LB-EPS and TB-EPS solutions versus pH. Values represent mean values of two independent measurements.

the low fluorescence quenching observed in **Fig. 2** and the upward fluorescence intensity trend. Additionally, the competition between hydroxyl and  $\text{AlO}^{2-}$  for binding sites may have also contributed to this phenomenon. Compared with peaks A, B, D, and E, which have comparatively lower fluorescence intensities in the range of pH 6.0–8.0, the fluorescence intensities of peak C showed the highest value in this pH range. The clearly distinct trend of peak C fluorescence intensity versus pH compared with the other four peaks may be caused by the competition between protons and  $\text{Al}^{3+}$  ions for the binding sites, and the coiled structure of the humus-like substances may also have prevented the access of  $\text{Al}^{3+}$ . Moreover, the hydrolyzate of  $\text{Al}^{3+}$  at acid or alkaline conditions may have weak fluorescence quenching effects on the different functional groups of humus-like substances. The same trend was also observed in previous research on the complexation between mercury and humic substances (Chai et al., 2012; Zhang et al., 2010).

### 2.3 Conditional stability constants for $\text{Al}^{3+}$ and EPS

$\text{Al}^{3+}$  exists mainly in the form of cations in the pH range of 2.0 to 4.0, and with the increase of pH, the form of  $\text{Al}^{3+}$  hydrolyzed to negatively charged anions (Shen, 2002). To quantify the quenching effect of different concentrations of  $\text{Al}^{3+}$  on the EPS, a series of synchronous fluorescence quenching titrations were conducted for the LB-EPS/TB-EPS with various concentrations of  $\text{Al}^{3+}$  at pH 4.0.

As shown in **Fig. 4**, all five fluorescence peaks were significantly quenched by  $\text{Al}^{3+}$  at pH 4.0, and the fluorescence of all peaks were remarkably quenched by 60  $\mu\text{mol/L}$  of  $\text{Al}^{3+}$ . The value of  $F/F_0$ , which was the ratio of fluorescence intensity of the peaks in the presence and absence of the quencher ( $\text{Al}^{3+}$ ), clearly showed substantial concave-down features in plots of  $F/F_0$  vs.  $\text{Al}^{3+}$  concentration.

Normally, the Stern-Volmer equation shows a linear profile (Zhao and Nelson, 2005). Interestingly, a distinct-

ly atypical concave-down response was observed in the Stern-Volmer equation of both the LB-EPS and TB-EPS. The concave-down response indicated the presence of two populations of fluorophores with unequal accessibility to the quencher (Zhao and Nelson, 2005), one of which could be accessible to the quencher, and another that could not. The appearance of a non-linear Stern-Volmer plot resulted from the fluorescence of the accessible fluorophore quenched by the addition of the quencher. Comparing the  $F/F_0$  value at  $\text{Al}^{3+}$  concentration 60  $\mu\text{mol/L}$  (**Fig. 4**), the fluorescence intensities of peaks A and B were about 40% quenched; however, over 50% of the fluorescence intensities were quenched for peak D and E, which indicated that the ratio of fluorophore populations accessible to the quencher was greater in the TB-EPS than that in the LB-EPS. According to the earlier analysis of the chemical composition of the LB-EPS and TB-EPS, it can be inferred that incomplete quenching of these five fluorescence peaks was partly because of their complex composition. Additionally, the proteins and humic substance in the EPS were a mixture in which binding sites for  $\text{Al}^{3+}$  were manifold.

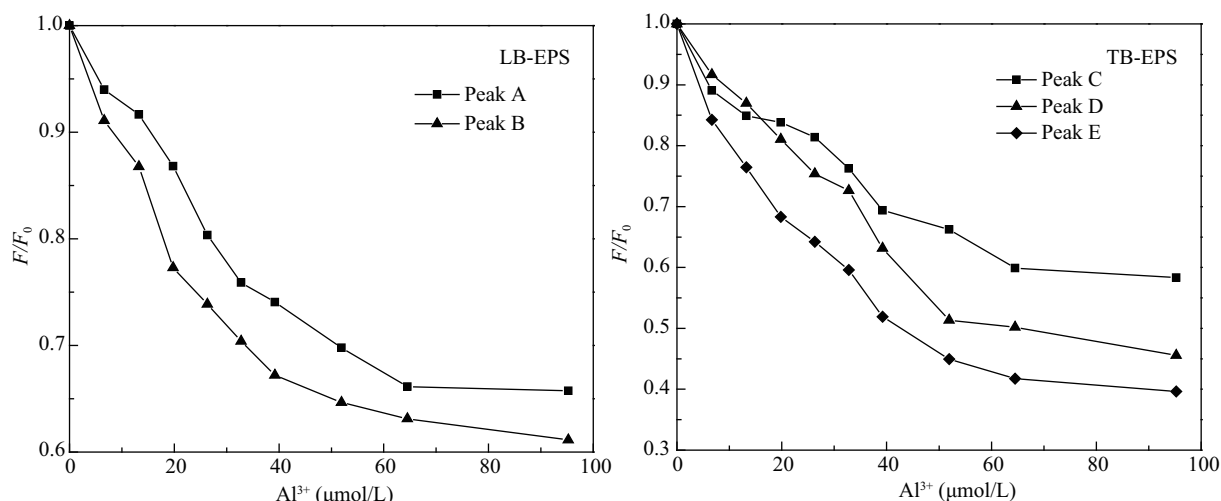
To quantitatively describe the  $\text{Al}^{3+}$ -EPS complexing behavior, the binding sites that caused the fluorescence quenching were assumed to form 1:1 complexes between the  $\text{Al}^{3+}$  and EPS (Da Silva et al., 1998; Ryan and Ventry, 1990). The modified Stern-Volmer equation was used to estimate the complexing parameters by fitting the titration results (Bai et al., 2008; Pan et al., 2010). The Stern-Volmer equation is as follows:

$$F_0/\Delta F = F_0/(F_0 - F) = 1/(f_a K_{a[\text{Al}]}) + 1/f_a \quad (1)$$

$$f_a = F_{0a}/(F_{0a} + F_{0b}) \quad (2)$$

$$F_0 = F_{0a} + F_{0b} \quad (3)$$

where,  $f_a$  is the proportion of binding fluorophores in the total fluorophores, and  $K$  is the conditional stability constant.  $F_{0a}$  is the fluorescence of the fluorophore moieties



**Fig. 4**  $\text{Al}^{3+}$  quenching titration with different fluorescent peaks. The fluorescence of all five peaks were markedly quenched by 60  $\mu\text{mol/L}$  of  $\text{Al}^{3+}$ . Values represent mean values of two independent measurements.



that can complex with quencher and  $F_{0b}$  is the fluorescence of the inaccessible fluorophore moieties.

If the plot of  $F_0/(F_0-F)$  vs.  $1/[\text{Al}^{3+}]$  is a straight line,  $K$  and  $f_a$  values can be estimated from the slope ( $1/K_a$ ) and intercept ( $1/f_a$ ) (Esteves da Silva et al., 1998).

The modified Stern-Volmer plots of LB-EPS/TB-EPS titrations with  $\text{Al}^{3+}$  at pH 4.0 are shown in Fig. 5. All titration data of the protein-like peaks (A, B, D, and E) were well-fitted with the modified Stern-Volmer equation ( $R^2 = 0.9522-0.9858$ ). However, for the humic-like peak C,  $F_0/(F_0-F)$  vs.  $1/[\text{Al}^{3+}]$  showed a weak linear relationship ( $R^2 = 0.8811$ ,  $f_a = 0.3787$ ), indicating that the humic-like substances have different binding capacity for  $\text{Al}^{3+}$  compared to the other protein-like substances in EPS. The parameters,  $f_a$  and  $\log K_a$  of fluorophores of the five peaks were calculated.

The values of  $\log K_a$  for complexation of the five peaks in LB-EPS/TB-EPS with  $\text{Al}^{3+}$  are listed in Table 1. The maximum conditional stability constant for  $[\text{Al}^{3+}]/\text{EPS}$  was 4.7479 for peak C. The stability constants for the other peaks were between 4.1464–4.7479. In addition, the same types of fluorophores in LB-EPS and TB-EPS showed different stability constants for  $\text{Al}^{3+}$ . In the position of Ex/Em = 350 nm/440 nm, the stability constant of peak D for TB-EPS was greater than that of peak A for LB-EPS; and in the position of Ex/Em = 280 nm/350 nm, the stability constant of peak E (4.5859) for TB-EPS was much greater than that of peak B (4.3570) for LB-EPS, indicating that TB-EPS may have a stronger binding ability towards  $\text{Al}^{3+}$  than the LB-EPS. The  $f_a$  values of peaks A and B of the LB-EPS sample were close to each other; however, the values of  $f_a$  for peak D were significantly higher than for peak E and C in the TB-EPS sample. This indicated that the tyrosine-like substances had a higher proportion of binding fluorophores in the total fluorophores than the tryptophan-like and humus-like substances. Figure 1b1 shows that the fluorescence intensity of peak D was the strongest among

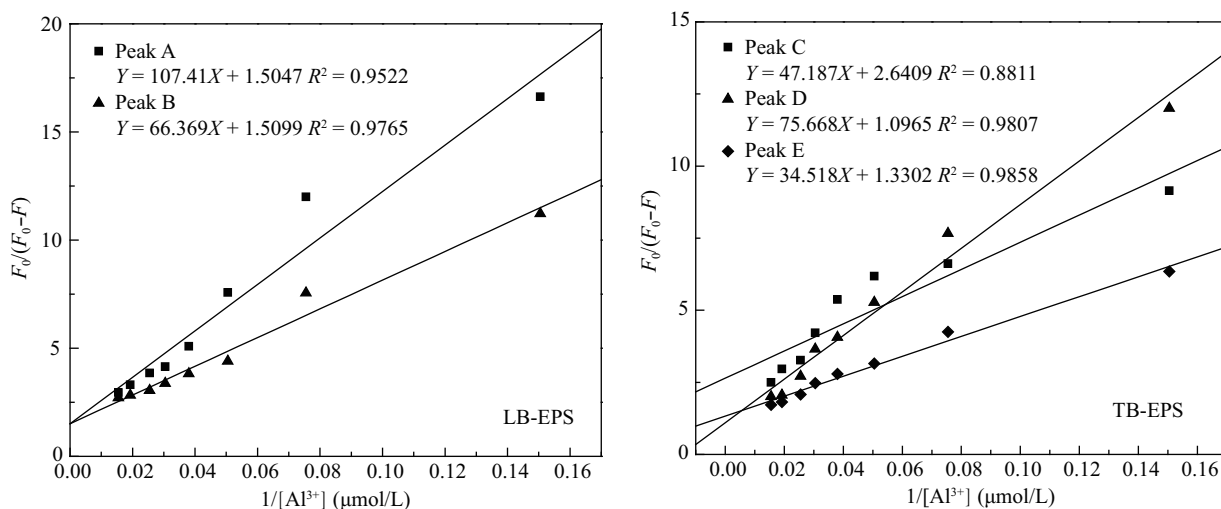
**Table 1** Complexation parameters of the peaks in 3DEEM spectra

Peaks	Ex/Em (nm/nm)	$\log K_a$	$f_a$	$R^2$
A	230/300	4.1464	0.6646	0.9522
B	280/350	4.3570	0.6623	0.9765
C	350/440	4.7479	0.3787	0.8811
D	230/300	4.1610	0.9120	0.9807
E	280/350	4.5859	0.7508	0.9858

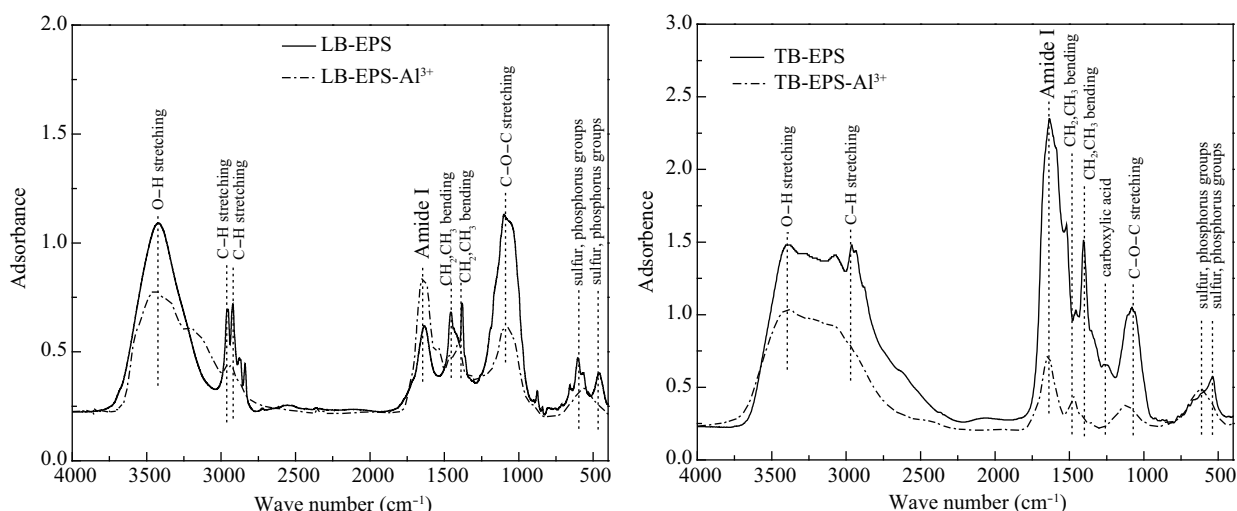
the five peaks. These results demonstrated that the protein in the TB-EPS had good complexing capacity toward  $\text{Al}^{3+}$ , and the  $\text{Al}^{3+}$  in the wastewater treatment system may mostly exist in the EPS mass in complexed form. For peak C of the TB-EPS, the value of  $\log K_a$  was higher than that of the other peaks, suggesting higher complexation ability of humus-like substances than the protein-like substances, though the amount of humus was much lower than protein.

#### 2.4 Binding mechanism EPS- $\text{Al}^{3+}$

The previous studies showed that the protein and humic-like substances in EPS had strong interaction with  $\text{Al}^{3+}$ . However, the complexation reaction between  $\text{Al}^{3+}$  and LB-EPS/TB-EPS is influenced by pH, for the hydrolysis of  $\text{Al}^{3+}$  in water solution and the structure of the protein in EPS are both influenced by the pH value (Shen, 2002; Wang et al., 2012). Al exists mainly as free  $\text{Al}^{3+}$  ions only when pH is between 2.0 to 4.0, so to further understand the binding sites acted between  $\text{Al}^{3+}$  and the EPS, the FT-IR experiment was conducted to compare the LB-EPS/TB-EPS with or without  $\text{Al}^{3+}$  in the same condition of pH 4.0. As shown in Fig. 6, several absorption peaks that represent main functional groups could be observed. The peak around  $3400\text{ cm}^{-1}$  corresponded to O–H stretching, and the peak at  $2930\text{ cm}^{-1}$  was attributable to C–H stretching (Beech and Sunner, 2004). The peak at  $1636\text{ cm}^{-1}$  was assigned to the C=O stretching vibration in proteins Amide I, while the band near  $1394\text{ cm}^{-1}$  was



**Fig. 5** Modified Stern-Volmer plots of LB-EPS/TB-EPS titrations with  $\text{Al}^{3+}$  at pH 4.0. All titration data of the peaks A, B, D, and E were well-fitted with the modified Stern-Volmer equation. Peak C showed a weak linear relationship ( $R^2 = 0.8811$ ).



**Fig. 6** FT-IR spectra of LB-EPS and TB-EPS with or without  $\text{Al}^{3+}$ . The  $-\text{OH}$ ,  $\text{N}-\text{H}$ ,  $\text{C}=\text{O}$ ,  $\text{C}-\text{N}$  groups and the sulfur- and phosphorus-containing groups showed complexation action with  $\text{Al}^{3+}$ .

attributed to  $\text{CH}_2/\text{CH}_3$  symmetric stretching (Wang et al., 2012). The peak located at  $1150\text{--}1030\text{ cm}^{-1}$  originated from  $\text{C}-\text{O}-\text{C}$  stretching in polysaccharides (Merroun and Selenska-Pobell, 2008), and the peaks located at  $< 1000\text{ cm}^{-1}$  were attributed to  $\text{S}-\text{O}$  stretching or  $\text{P}-\text{O}$  stretching, known as the fingerprint area (Quiroz et al., 2006).

Within the FT-IR spectra in **Fig. 6**, some bands drifted or disappeared, summarized as follows: (1) In both LB-EPS and TB-EPS sample, the absorption intensity of  $-\text{OH}$  at  $3700\text{--}3000\text{ cm}^{-1}$  decreased and drifted. (2) In both LB-EPS and TB-EPS sample, the  $\text{C}-\text{H}$  stretching vibration at  $2963\text{--}2926\text{ cm}^{-1}$  assigned to aliphatic carbon chains decreased and the  $\text{CH}_2$  and  $\text{CH}_3$  stretching vibration at  $1455\text{ cm}^{-1}$  decreased remarkably. (3) In the TB-EPS sample, the  $\text{C}=\text{O}$  stretching vibration at  $1636\text{ cm}^{-1}$  assigned to Amide I proteins decreased; the stretching vibration at  $1394\text{ cm}^{-1}$  assigned to  $\text{CH}_2/\text{CH}_3$  experienced a red shift and moved to  $1471\text{ cm}^{-1}$ ; and the  $\text{C}=\text{O}$  vibration at  $1250\text{ cm}^{-1}$  assigned to carboxylic acid disappeared. (4) In the TB-EPS sample, the  $\text{O}-\text{H}$  stretching vibration at  $1074\text{ cm}^{-1}$  assigned to alcohols or carboxyl experienced a blue shift to  $1011\text{ cm}^{-1}$ . (5) In LB-EPS sample, the  $\text{C}-\text{O}-\text{C}$  stretching vibration at  $1074\text{ cm}^{-1}$  assigned to polysaccharides decreased. (6) In the fingerprint area at  $< 1000\text{ cm}^{-1}$ , the spectra of LB-EPS and TB-EPS varied significantly after complexation. For LB-EPS, the bands at  $596$  and  $457\text{ cm}^{-1}$  disappeared, while a new band at  $567\text{ cm}^{-1}$  appeared. For TB-EPS, the band at  $531\text{ cm}^{-1}$  moved to  $634\text{ cm}^{-1}$ .

The FT-IR analysis showed that the main groups located on proteins in the EPS, including  $\text{C}=\text{O}$ ,  $\text{C}-\text{N}$ ,  $-\text{OH}$ , and amide groups, reacted with  $\text{Al}^{3+}$ , which may be via electrostatic interaction. Furthermore, the  $\text{C}-\text{O}-\text{C}$  group on polysaccharides,  $-\text{OH}$  assigned to alcohols or carboxyl,  $\text{C}=\text{O}$  belonging to carboxyl or phenolic alcohols, and the sulfur- and phosphorus-containing groups were also involved in the complexation reaction. These results indicated that, although the protein-like and humic-

like substances had strong binding capacity for  $\text{Al}^{3+}$ , the polysaccharides, DNA, phosphate, and sulfate groups may also provide binding sites for  $\text{Al}^{3+}$ .

The above experimental results indicate that, during the activated sludge flocculation process with aluminum salts,  $\text{Al}^{3+}$  functions by interaction with proteins, humic-like substances and polysaccharides in EPS, among which the proteins and humic-like substances have relatively strong complexing capability with  $\text{Al}^{3+}$ .  $\text{Al}^{3+}$  could bind with the functional groups, i.e.  $-\text{OH}$ ,  $\text{N}-\text{H}$ ,  $\text{C}=\text{O}$  and  $\text{C}-\text{N}$  in EPS, thus to (1) neutralize the negative charge of the EPS and decrease the repulsion forces between the polymeric substances, (2) bridge connecting multiple molecules. Therefore, the floc structure can be more compact and could further improve the settling properties and the dewatering properties of sludge flocs (Agridiotis et al., 2007; Park et al., 2010). A conclusion that TB-EPS is the main complexation agent could be gained due to the greater complexation ability and greater amount of TB-EPS than LB-EPS, which could explain the phenomenon of the higher ratio of  $\text{Al}^{3+}$  in the TB-EPS fraction compared to other fractions in activated sludge mentioned in the literature (Yu et al., 2009).

3DEEM and synchronous fluorescence technology are only applicable for fluorescent components (e.g., proteins and humic acids), but not for other components that do not emit fluorescence, such as polysaccharides, phosphate and sulfate. Polysaccharides are important binding sites for metals (Guibaud et al., 2009; Zhang et al., 2006). Besides, uronic acids, DNA, and phosphate may also be involved in binding with cations (Quiroz et al., 2006; Beech and Sunner, 2004). Thus, to obtain a comprehensive understanding of the mechanisms involved in the complexation of EPS with  $\text{Al}^{3+}$ , other methods such as extended X-ray absorption fine structure analysis, nuclear energy resonance, and time of Flight mass spectrometry need to be complementarily used in the future.

### 3 Conclusion

Fluorescence peaks of tyrosine- and tryptophan-like substances were identified from the LB-EPS and TB-EPS samples, respectively. A fluorescence peak of humic-like substances was also identified in the TB-EPS sample. The fluorescence of the five peaks was significantly quenched by  $\text{Al}^{3+}$ , indicating the presence of complexes formed between EPS and  $\text{Al}^{3+}$ . The values of conditional stability constants ( $\log K_a = 4.1464\text{--}4.7479$ ) derived from the modified Stern-Volmer equation were appropriate to those for protein-like and humic-like peaks. The tyrosine-like substances had a higher proportion of binding fluorophores than the tryptophan-like and humus-like substances. Aluminum ions showed complexation action with  $-\text{OH}$ ,  $\text{N}-\text{H}$ ,  $\text{C}=\text{O}$ ,  $\text{C}-\text{N}$  groups and the sulfur- and phosphorus-containing groups, but a significant difference was observed in the number and types of the groups for complexation between the LB-EPS and TB-EPS. The TB-EPS had stronger binding ability to  $\text{Al}^{3+}$  than the LB-EPS, and played an important role in the interaction with  $\text{Al}^{3+}$ .

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