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## Pollution levels, composition characteristics and sources of atmospheric PM<sub>2.5</sub> in a rural area of the North China Plain during winter

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### ABSTRACT

The pollution levels, composition characteristics and sources of atmospheric PM<sub>2.5</sub> were investigated based on field measurement at a rural site in the North China Plain (NCP) from pre-heating period to heating period in winter of 2017. The hourly average concentrations of PM<sub>2.5</sub> frequently exceeded 150 µg/m<sup>3</sup> and even achieved 400 µg/m<sup>3</sup>, indicating that the PM<sub>2.5</sub> pollution was still very serious despite the implementation of stricter control measures in the rural area. Compared with the pre-heating period, the mean concentrations of organic carbon (OC), element carbon (EC) and chlorine ion (Cl<sup>-</sup>) during the heating period increased by 20.8%, 36.6% and 38.8%, accompanying with increments of their proportions in PM<sub>2.5</sub> from 37.5%, 9.8% and 5.5% to 42.9%, 12.7% and 7.2%, respectively. The significant increase of both their concentrations and proportions during the heating period was mainly ascribed to the residential coal combustion. The proportions of sulfate, nitrate and ammonium respectively increased from 9.9%, 10.9% and 9.0% in nighttime to 13.8%, 16.2% and 11.1% in daytime, implying that the daytime photochemical reactions made remarkable contributions to the secondary inorganic aerosols. The simulation results from WRF-Chem revealed that the emission of residential coal combustion in the rural area was underestimated by the current emission inventory. Six sources identified by positive matrix factorization (PMF) based on the measurement were residential coal combustion, secondary formation of inorganic aerosols, biomass burning, vehicle emission and raising dust, contributing to atmospheric PM<sub>2.5</sub> of 40.5%, 21.2%, 16.4%, 10.8%, 8.6% and 2.5%, respectively.

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## Introduction

In recent years, the North China Plain (NCP) is undergoing severe haze pollution, which is mainly attributed to the high level of fine particulate matter with diameter less than 2.5  $\mu\text{m}$  ( $\text{PM}_{2.5}$ ) (Huang et al., 2014; Wang et al., 2016a; Zhang et al., 2015). For example, the annual mean mass concentration of  $\text{PM}_{2.5}$  was 102  $\mu\text{g}/\text{m}^3$  in 2013 and 93  $\mu\text{g}/\text{m}^3$  in 2014 in the Beijing-Tianjin-Hebei (BTH) region located in the north of the NCP, which were beyond the national secondary class standard for the daily average limit of 75  $\mu\text{g}/\text{m}^3$  (GB 3095-2012); The days with atmospheric  $\text{PM}_{2.5}$  concentration exceeded the secondary standard in BTH accounted for nearly half (48%) of the total days in 2015 (Zhang et al., 2017); The hourly  $\text{PM}_{2.5}$  concentration in southern Hebei even surpassed 900  $\mu\text{g}/\text{m}^3$  in both winters of 2015 and 2016 (Li et al., 2017). Exposure to  $\text{PM}_{2.5}$  is harmful to human health, resulting in respiratory illnesses, cardiovascular diseases and even premature death (Armbrust et al., 2016; Watson, 2011). Additionally, high level of  $\text{PM}_{2.5}$  severely reduced visibility (Jiang et al., 2018), resulting in delay of flight, high way and high-speed rail etc. Therefore, the serious  $\text{PM}_{2.5}$  pollution in the NCP has been aroused great attention by the central and local governments as well as citizens.

Focusing on the serious  $\text{PM}_{2.5}$  pollution, a series of control measures have been implemented in the NCP for improving the regional air quality since 2010, e.g., the implementation of stricter emission standards for the power, transport and industry sectors (Sheehan et al., 2014). As the consequence, the annual average  $\text{PM}_{2.5}$  concentrations reduced by 28%-40% from 2013 to 2017 in the BTH region (China Daily, 2018). Based on field measurements (Liu et al., 2017c) and model simulations (Zhang et al., 2017), recent studies further revealed that the residential coal combustion in winter for heating was an important source for  $\text{PM}_{2.5}$  in the BTH region, and thus the corresponding stricter control measures have also been implemented since 2016, e.g., the residential coal is being quickly replaced by natural gas or electricity for winter heating in most rural areas of the BTH region. However, the effects of the control measures have not been evaluated in the rural areas due to lacking of corresponding field measurements.

In this study, a field campaign was performed at a rural site in the NCP during the wintertime of 2017 to investigate the pollution levels, composition characteristics and sources of atmospheric  $\text{PM}_{2.5}$  in the rural area. The effect of the current control measures for residential coal combustion was also evaluated through the comparison between the  $\text{PM}_{2.5}$  levels investigated by this study and our previous studies at the same rural site. Additionally, the weather research and forecasting model coupled with online chemistry (WRF-Chem) model was also adopted for comparably simulating the  $\text{PM}_{2.5}$  levels between the rural site and Beijing city to reveal the significant influence of residential coal combustion on  $\text{PM}_{2.5}$  in the rural area.

## 1. Materials and methods

### 1.1. Sampling and analysis

The field campaign was conducted from November 1st to December 31st 2017 at the Station of Rural Environment, Research Center for Eco-Environmental Sciences (SRE-RCEES, 38.67°N, 115.25°E) which is situated in an open agricultural field of Dongbaituo (DBT) village, Wangdu county, Baoding, Hebei Province of China. The SRE-RCEES is far away from the sources of industry and power plants. A high way is located to the north of the SRE-RCEES, with direct distance of about 1 km. Detailed information about the SRE-RCEES had been pre-

sented in our previous studies (Liu et al., 2016, 2017b, 2017c; Ye et al., 2018).

The concentrations of CO,  $\text{SO}_2$ ,  $\text{NO}_x$  ( $\text{NO}$  and  $\text{NO}_2$ ) and  $\text{PM}_{2.5}$  were measured by using in-situ monitors (43i, 48i, 42i and 1405a TEOM, Thermo-Fisher Scientific, USA) with the detection limits of 0.04 ppmV, 0.12 ppbV, 0.40 ppbV and less than 0.1  $\mu\text{g}/\text{m}^3$ , respectively. It should be mentioned that the atmospheric  $\text{PM}_{2.5}$  mass concentration measured by the 1405a TEOM is usually underestimated because the volatile components (e.g.  $\text{NH}_4\text{NO}_3$ ) in  $\text{PM}_{2.5}$  are easily vaporized on the TEOM filter which is recommended to be kept at 50°C (Weijers et al., 2000). The concentration of ammonia was measured by using differential optical absorption spectroscopy (DOAS), with the detection limits of 1 ppbV. The organic carbon (OC) and elemental carbon (EC) were measured by using organic/elemental carbon analyzer (TR20N9, CECEP Talroad Technology Co., Ltd., China) with the interagency monitoring of protected visual environments (IMPROVE) thermal-optical reflectance (TOR) protocol with the detection limits of less than 0.5  $\mu\text{g}$  carbon (C)/ $\text{m}^3$ . The trace elements (Si, Ti, Mn, Fe, Cu, Zn, Se and Pb) of atmospheric  $\text{PM}_{2.5}$  were measured by using automatic multi-metals monitor (Xact-625, Cooper Environmental Services, USA) with detection limits of less than 0.1  $\text{ng}/\text{m}^3$ . To make sure the data quality, all above instruments were calibrated every 3 days during the sampling period. The filter samples of atmospheric  $\text{PM}_{2.5}$  were collected by a programmed multipath (12 channels)  $\text{PM}_{2.5}$  sampler (2201A, Jectec Science and Technology (Beijing) Co., Ltd., China) on quartz fibre filters (90 mm, Munktell) under medium flow rate of 100 L/min. The duration for collecting each  $\text{PM}_{2.5}$  filter sample was 2 hours and the filter samples after sampling were put into the dishes-like containers (90 mm, Millipore) and stored in a refrigerator (-20°C) until analysis.

A quarter of each filter sample was extracted ultrasonically with 10 mL of ultrapure water for half an hour. The solution was percolated through a microporous membrane (pore size 0.45  $\mu\text{m}$ ; diameter 13 mm), and the water-soluble ions (WSIs) ( $\text{Cl}^-$ ,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$ ,  $\text{Mg}^{2+}$ ,  $\text{Ca}^{2+}$  and  $\text{K}^+$ ) in the filtrate were analyzed by ion chromatography (IC6200, Wayeal, China). An anion column (4 mm  $\times$  250 mm, Dionex IonPac AS14, Thermo-Fisher Scientific, USA) was adopted to separate the anions in the filtrate through eluent (3.5 mmol/L  $\text{Na}_2\text{CO}_3$  and 1 mmol/L  $\text{NaHCO}_3$ ) at a flow rate of 1.2 mL/min under 25°C. A cation column (TSKgelSuper IC-CR, 4.6 mm  $\times$  15 cm) was used to separate the cations in the filtrate through eluent (2.2 mmol/L methanesulfonic acid (MSA) and 1 mmol/L 18-crown-6) at a flow rate of 0.7 mL/min under 40°C. The relative standard deviation (RSD) of each ion was less than 0.5% with the reproducibility test. The detection limits (signal-noise ratio ( $S/N$ ) = 3) were less than 0.001 mg/L for the anions and cations.

The meteorological conditions including wind speed (WS), wind direction (WD), relative humidity (RH), temperature and pressure were measured online using automatic meteorological station (M451, Vaisala, Finland). The mass concentrations of atmospheric  $\text{PM}_{2.5}$  in Gucheng (one of national monitoring sites in Beijing city) located in southwest of Beijing were acquired from the website (<https://www.aqistudy.cn/>) from the Ministry of Environmental Protection of the People's Republic of China and the meteorological conditions in Beijing were collected from the China Meteorological Administration.

### 1.2. WRF-Chem model

The Weather Research and Forecasting (WRF) model coupled with online chemistry (WRF-Chem) version 4.0.3 (Fast et al., 2006; Grell et al., 2005) was adopted to simulate emission, formation, transformation and transport processes of pollutants. The simulation period spanned from November 1st to

December 31st, 2017. The simulation domain was comprised of  $223 \times 202$  grid cells with 9 km resolution and was centered on the BTH region ( $39.5^\circ\text{N}$ ,  $114.8^\circ\text{E}$ ). In the vertical dimension, 30 vertical layers were set from the surface ( $\sim 20$  m) to the 50 hPa level, and 12 layers of which were set below 1500 m to resolve the process of planetary boundary layer (PBL). The physics parameterization schemes used in this study included single-layer urban canopy model (UCM) (Kusaka and Kimura, 2004), Noah land-surface (Fei and Dudhia, 2001), Yonsei University planetary boundary layer (YSU PBL) (Hong et al., 2005), Grell-Devenyi ensemble convection (Grell and Dévényi, 2002), Thompson microphysics (Thompson et al., 2008), rapid and accurate radiative transfer mode (RRTM) longwave radiation (Mlawer et al., 1997) and Goddard short-wave radiation (Chou and Suarez, 1994). For the chemistry simulation, the carbon bond mechanism version Z (CBMZ) (Zaveri and Peters, 1999) was used as the gas-phase chemistry module and the model for simulating aerosol interactions and chemistry (MOSAIC) with 8 aerosol diameter bins (Zaveri et al., 2008) was used as the aerosol module. The Fast-J photolysis scheme is used for photolytic rate calculations (Masson, 2000; Wild et al., 2000). The multi-resolution emission inventory for China of 2016 (MEIC-2016) (Li et al., 2014; Liu et al., 2015; Zhang et al., 2009; Zheng et al., 2014) with a resolution of  $0.1^\circ \times 0.1^\circ$  (<http://www.meicmodel.org/>) was used in this study and interpolated to the 9 km grid cells of the model via a bilinear method. Meteorological lateral boundary conditions (LBCs) and initial conditions (ICs) were obtained through the WRF Preprocessing System (WPS), via output from the rapid refresh multiscale analysis and prediction system-short term model (RMAPS-ST), which is an operational model of the institute of urban meteorology (IUM). The RMAPS-ST performed an advanced research version of WRF and WRF data assimilation (WRFDA), and it can provide more accurate meteorological condition to WRF-Chem due to the assimilation of regional and local meteorological data (Liang et al., 2016). Chemical LBCs and ICs are based on prescribed idealized profiles. The simulation started on October 23rd and the first 7 days were treated as spin-up period to allow the pollutants accumulated.

Additionally, the mean bias (MB), root mean square error (RMSE) and index of agreement (IOA), which are usually used to evaluate the performance of simulation results, were calculated by Eqs. (1)–(3), respectively.

$$\text{MB} = \frac{1}{n} \sum_{i=1}^n (p_i - o_i) \quad (1)$$

$$\text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (p_i - o_i)^2} \quad (2)$$

$$\text{IOA} = 1 - \frac{\sum_{i=1}^n (p_i - o_i)^2}{\sum_{i=1}^n ((|p_i - O|) + (|o_i - O|))^2} \quad (3)$$

where  $p_i$  is the simulation data,  $o_i$  is the observation data, and  $O$  is the average of observation data.

### 1.3. Positive matrix factor (PMF) model

The receptor model of positive matrix factor (PMF) (Paatero and Tapper, 2010) was applied to identify the  $\text{PM}_{2.5}$  sources. PMF is a multivariate factor analysis tool that decomposes a matrix of speciated sample data into two matrices-factor contributions and factor profiles that can be interpreted by an analyst as to what sources are represented based on observations at a receptor site (Banerjee et al., 2015; Park et al., 2019; Yu et al., 2019). The  $Q$  value which is calculated with the uncertainties of each observation data, can be used to

review the distribution for each species to evaluate the stability of the solution. The equation of  $Q$  value is listed in Eq. (4), in which  $u_{ij}$  is the uncertainty estimate of source  $j$  measured in sample  $i$ ,  $x_{ij}$  is the  $j$ th species concentration measured in the  $i$ th sample,  $g_{ik}$  is the species contribution of the  $k$ th source to the  $i$ th sample,  $f_{kj}$  is the  $j$ th species fraction from the  $k$ th source, and  $p$  is the total number of independent sources.

$$Q = \sum_{i=1}^m \sum_j^n \left( \frac{x_{ij} - \sum_{k=1}^p g_{ik} f_{kj}}{u_{ij}} \right)^2 \quad (4)$$

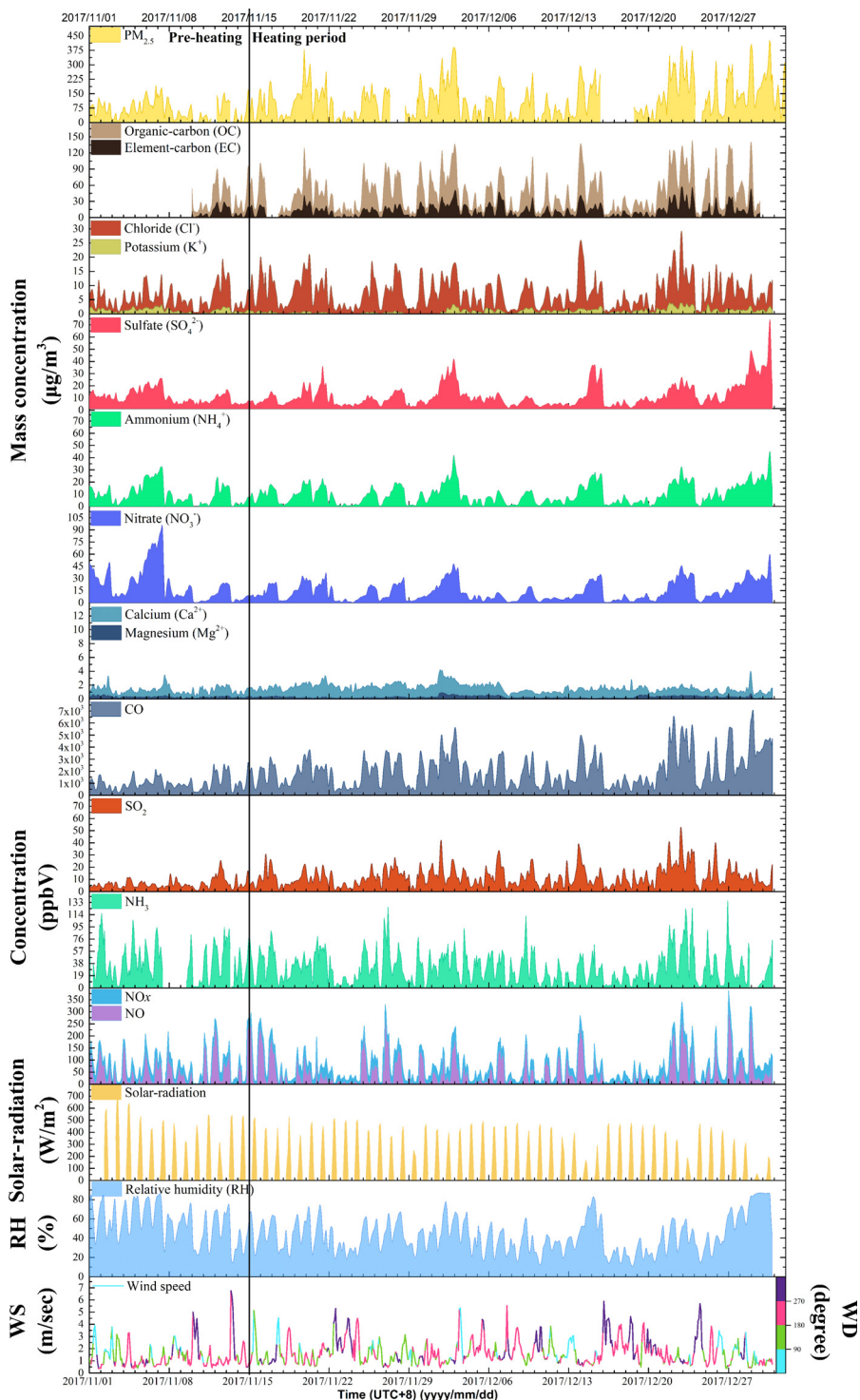
Followed the suggestions for PMF analysis by Reff et al. (2012), the PMF was applied in three steps. The first step was preparing the observation data using to be modeled and replacing the poorly or missing data following the methods concluded by Reff et al. (2012), the second step was processing the data with PMF to develop a feasible and robust solution with  $Q$  value test, the  $Q$  value of best solution was almost equal to the total number of samples times the total number of species used in PMF, the third step was interpreting the solutions according to the previous studies.

## 2. Results and discussion

### 2.1. Pollution levels of atmospheric pollutants in the rural area

Time series of various atmospheric pollutants in both particle-phase and gas-phase as well as the key meteorological parameters are illustrated in Fig. 1 and their statistical mean concentrations during heating and pre-heating periods are listed in Table 1. Obviously, the  $\text{PM}_{2.5}$  pollution in the rural area was very serious, e.g., its hourly average concentration frequently exceeded  $150 \mu\text{g}/\text{m}^3$  with the maximal value approaching  $380 \mu\text{g}/\text{m}^3$  during the heating period. The atmospheric concentrations of  $\text{PM}_{2.5}$  and its major components (OC, EC,  $\text{Cl}^-$ ,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$  and  $\text{NH}_4^+$ ) as well as the key gas-phase species ( $\text{CO}$ ,  $\text{SO}_2$ ,  $\text{NH}_3$ ,  $\text{NO}_x$ ) remarkably fluctuated during the measurement period, with appearance of pollution episodes marked by relatively high levels of the pollutants usually in 3–4 days. The fluctuations of the pollutants reversely corresponded to the variations of WS, confirming that the WS played a pivotal role in dispersion or accumulation of the pollutants (Zhao et al., 2013). Although the mean WS was faster by 19.2% during the heating period than the pre-heating period (Table 1), the mean concentrations of  $\text{PM}_{2.5}$ , OC, EC,  $\text{Cl}^-$  as well as  $\text{CO}$  and  $\text{SO}_2$  during the heating period increased by 79.1%, 20.8%, 36.6%, 38.8%, 110.6% and 94.4%, respectively. The remarkable increments of the pollutants were mainly ascribed to strong emissions of the pollutants from additional sources during the heating period, e.g., residential coal combustion is prevalently adopted for heating during winter in the rural area. The residential coal combustion has been verified to be one of the dominant sources for atmospheric  $\text{PM}_{2.5}$ , OC, EC,  $\text{Cl}^-$ ,  $\text{CO}$  and  $\text{SO}_2$  in the rural area even the whole regions of Beijing-Tianjin-Hebei (BTH) during winter seasons (Liu et al., 2017c). In contrast to the above pollutants,  $\text{SO}_4^{2-}$  only increased by 10.1% and  $\text{NH}_4^+$  by 3.0%, whereas  $\text{NO}_3^-$  remarkably decreased by 40.3% during the heating period in comparison with the pre-heating period. Atmospheric  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  are usually called secondary inorganic aerosols (SIA) because they are mainly from the secondary formation through atmospheric photochemical processes (Chan and Yao, 2008; Quan et al., 2015; Tao et al., 2018; Tian et al., 2019; Zhang et al., 2015). The increasing percent of  $\text{SO}_2$  concentration was about a factor of 9.4 greater than that of  $\text{SO}_4^{2-}$  concentration from pre-heating period to





**Fig. 1 – Time series of the typical species (OC, EC, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup>) in PM<sub>2.5</sub>, key gas species (CO, SO<sub>2</sub>, NH<sub>3</sub> and NO<sub>x</sub>) and meteorological factors (solar radiation, RH (relative humidity), WS (wind speed) and WD (wind direction)) during winter in Dongbaituo (DBT) rural area. Wind direction: 0 and 360 is north, 90 is east, 180 is south and 270 is west; UTC: coordinated universal time.**

the heating period, indicating that SO<sub>4</sub><sup>2-</sup> formation through oxidation of SO<sub>2</sub> by the major atmospheric photo-oxidants (OH radicals and H<sub>2</sub>O<sub>2</sub> etc.) might be greatly suppressed due to relatively low photochemical reactivity under the conditions of low temperature and weak sunlight intensity during the heating period. The remarkably decrease of NO<sub>3</sub><sup>-</sup> during the heating period could further verify the relatively low photo-

chemical reactivity because its precursors (NO<sub>x</sub>) were almost identical between heating and pre-heating periods. Evident ammonia (NH<sub>3</sub>) emission from the residential coal combustion has been reported by a previous study (Li et al., 2016), but atmospheric concentration of NH<sub>3</sub> measured was about 20.6% lower during the heating period than during the pre-heating period. The relatively low air temperature during the heating

**Table 1 – Comparison of the mean concentrations of PM<sub>2.5</sub> components between the pre-heating period and the heating period at the sampling site of Dongbaituo village (DBT) (Mean ± SD).**

	Total PM <sub>2.5</sub> (µg/m <sup>3</sup> )	SO <sub>4</sub> <sup>2-</sup> (µg/m <sup>3</sup> )	NO <sub>3</sub> <sup>-</sup> (µg/m <sup>3</sup> )	NH <sub>4</sub> <sup>+</sup> (µg/m <sup>3</sup> )	Cl <sup>-</sup> (µg/m <sup>3</sup> )	K <sup>+</sup> (µg/m <sup>3</sup> )	Mg <sup>2+</sup> (µg/m <sup>3</sup> )	Ca <sup>2+</sup> (µg/m <sup>3</sup> )	OC (µg/m <sup>3</sup> )	EC (µg/m <sup>3</sup> )
Pre-heating	63.9 ± 46.4	11.2 ± 5.4	23.7 ± 14.1	10.3 ± 8.5	Cl <sup>-</sup> 5.5 ± 4.0	1.2 ± 0.8	0.3 ± 0.1	1.29 ± 0.6	38.0 ± 33.9	10.0 ± 9.0
Heating	114.4 ± 92.9	12.3 ± 10.0	14.1 ± 12.0	10.6 ± 8.5	7.7 ± 5.6	1.0 ± 0.7	0.3 ± 0.2	1.63 ± 0.6	45.9 ± 36.3	13.6 ± 12.5
Ratio of increment	79.1%	10.1%	-40.3%	3.0%	38.8%	-17.6%	0%	26.8%	20.82%	36.6%
	NO <sub>x</sub> (ppbV)	NO (ppbV)	SO <sub>2</sub> (ppbV)	NH <sub>3</sub> (ppbV)	CO (ppbV)	Wind speed (m/sec)				
Pre-heating	83.8 ± 62.5	56.8 ± 57.4	6.3 ± 4.3	39.0 ± 27.8	1.0 ± 0.6	1.4 ± 1.1				
Heating	82.3 ± 73.3	53.1 ± 66.2	12.3 ± 8.6	31.0 ± 24.5	2.1 ± 1.4	1.7 ± 1.0				
Ratio of increment	-1.7%	-3.0%	94.4%	-20.6%	110.6%	19.2%				

OC: organic carbon; EC: black carbon.

period was in favor of conversion of gas-phase NH<sub>3</sub> into NH<sub>4</sub><sup>+</sup> in particle-phase through partition (Wang et al., 2015), which could be certified by the slight increase of NH<sub>4</sub><sup>+</sup> concentration during the heating period. On the other hand, NH<sub>3</sub> emission from biogenic sources (such as animal waste) would be greatly reduced during the heating periods due to suppression of biogenic activity by the low air temperature (Bleizgys and Balezentiene, 2014). As one of the indicators of biomass burning, the mean concentration of K<sup>+</sup> was about 17.1% lower during the heating period than pre-heating period, implying that biomass burning might be slighter during the heating period (Mason et al., 2016). It should be mentioned that the relatively low mean concentration of K<sup>+</sup> during the heating period was mainly ascribed to the extremely low concentrations during the period of 15th - 30th November, whereas the K<sup>+</sup> concentrations after 30th November were comparable to those during the pre-heating period (Fig. 1). The slight increase of the concentrations of Ca<sup>2+</sup> during the period from 15th November to 6th December (Fig. 1) were attributed to the relatively low RH and high WS which are in favor of raising dust (Zhao et al., 2013), resulting in the mean concentration of Ca<sup>2+</sup> increasing by 17.1% during the heating period.

Focusing on the serious haze pollution during winter, a series of strict control measures have been implemented in the rural areas of BTH, for example, residential coal combustion for heating has been replaced by natural gas or electricity in many villages around DBT in 2017, and biomass burning in the field is under stricter supervision in each village. To reveal the effect of the control measures, the levels of PM<sub>2.5</sub> and its major components obtained in this study are compared with our previous studies at the same sampling site but in different years (Table 2). Compared with the two previous years, the mean concentrations of PM<sub>2.5</sub> and its major components in winter of 2017 were reduced by about 50%, revealing that the control measures are effective for mitigating the regional pollution of PM<sub>2.5</sub>. Nevertheless, the mean PM<sub>2.5</sub> concentration in the rural area still largely exceeded the national standards, stricter control measures are urgently needed for further improving the air quality.

## 2.2. Composition characteristics of PM<sub>2.5</sub> in the rural area

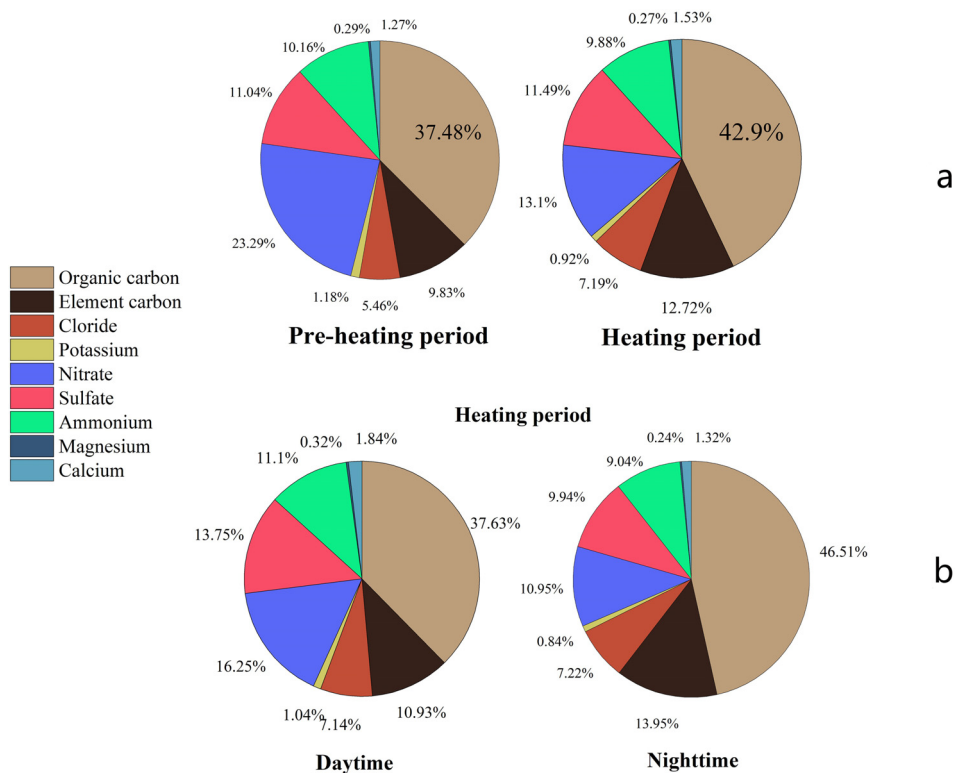
To further reveal the contribution of residential coal combustion for heating to atmospheric PM<sub>2.5</sub>, the proportion of each component to the PM<sub>2.5</sub> was respectively analyzed during the pre-heating period and heating period, which are illustrated in Fig. 2a Compared with the pre-heating period, the proportions of OC, EC and Cl<sup>-</sup>, which are the major components of the smoke from residential coal combustion (McCulloch et al., 1999), increased from 37.5% to 42.9%, from 9.8% to 12.7% and

**Table 2 – Comparison of the mean concentrations of PM<sub>2.5</sub> components among different years at the sampling site of DBT.**

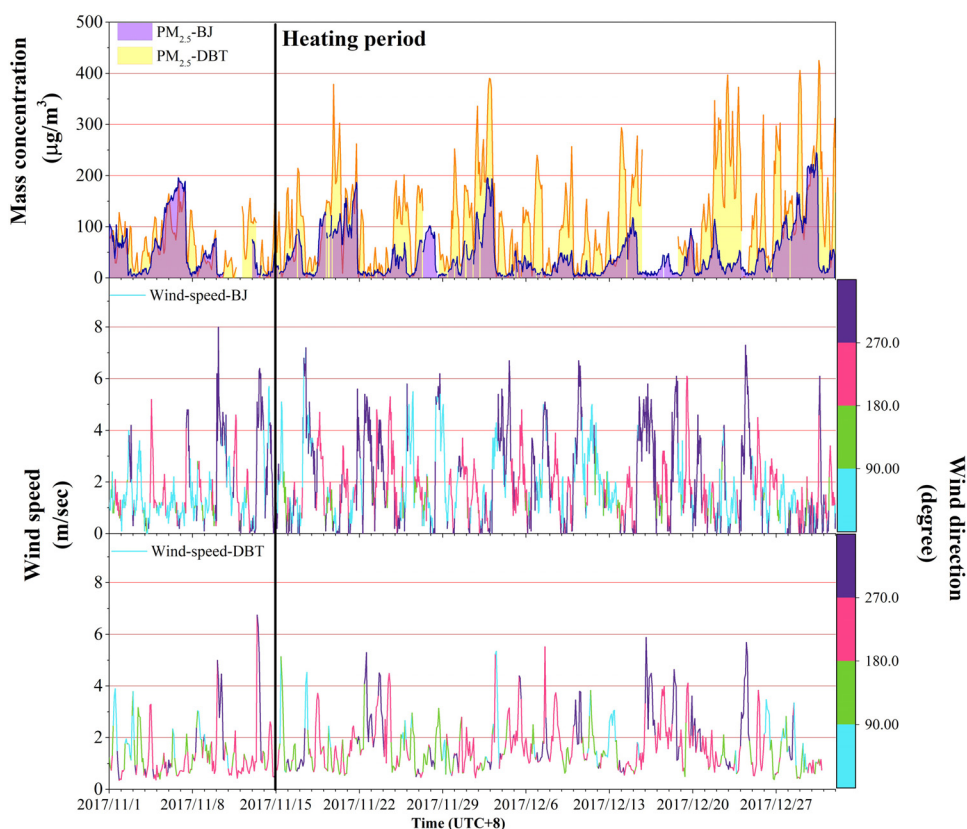
Mean concentration (µg/m <sup>3</sup> )	2017 DBT winter	2015 DBT winter	2014 DBT winter
PM <sub>2.5</sub>	114.4±92.9	218.4±87.1	-
OC	45.9±36.3	100.0±42.9	-
EC	13.6±12.5	21.6±10.2	-
Nitrate	14.1±12.0	21.0±12.2	23.7±24.3
Sulfate	12.3±10.0	24.1±16.1	22.3±20.4
Ammonium	10.6±8.5	18.7±11.7	16.8±13.9
Chloride	7.7±5.6	13.4±6.0	14.0±1.5
Potassium	1.0±0.9	3.1±1.3	2.4±1.5

from 5.5% to 7.2%, respectively, implying that the residential coal combustion was still an important source for PM<sub>2.5</sub> in the rural area despite the implementation of strict control measures. In contrast to the significant increase of the proportions for OC, EC and Cl<sup>-</sup> during the heating period, the proportion for NO<sub>3</sub><sup>-</sup> remarkably decreased from 23.3% to 13.1%, while the SO<sub>4</sub><sup>2-</sup> proportion only slightly increased from 11.0% to 11.5% and NH<sub>4</sub><sup>+</sup> proportion was almost kept a constant. The slight increase of SO<sub>4</sub><sup>2-</sup> proportion during the heating period was mainly attributed to the emissions of both SO<sub>4</sub><sup>2-</sup> and its precursor of SO<sub>2</sub> from residential coal combustion (Dai et al., 2019). Besides the suppression of SIA formation due to the relatively weak photochemical reactivity during the heating period, the variations of the SIA proportions from pre-heating period to the heating period also revealed that the primary emissions of pollutants were the dominant source for atmospheric PM<sub>2.5</sub>.

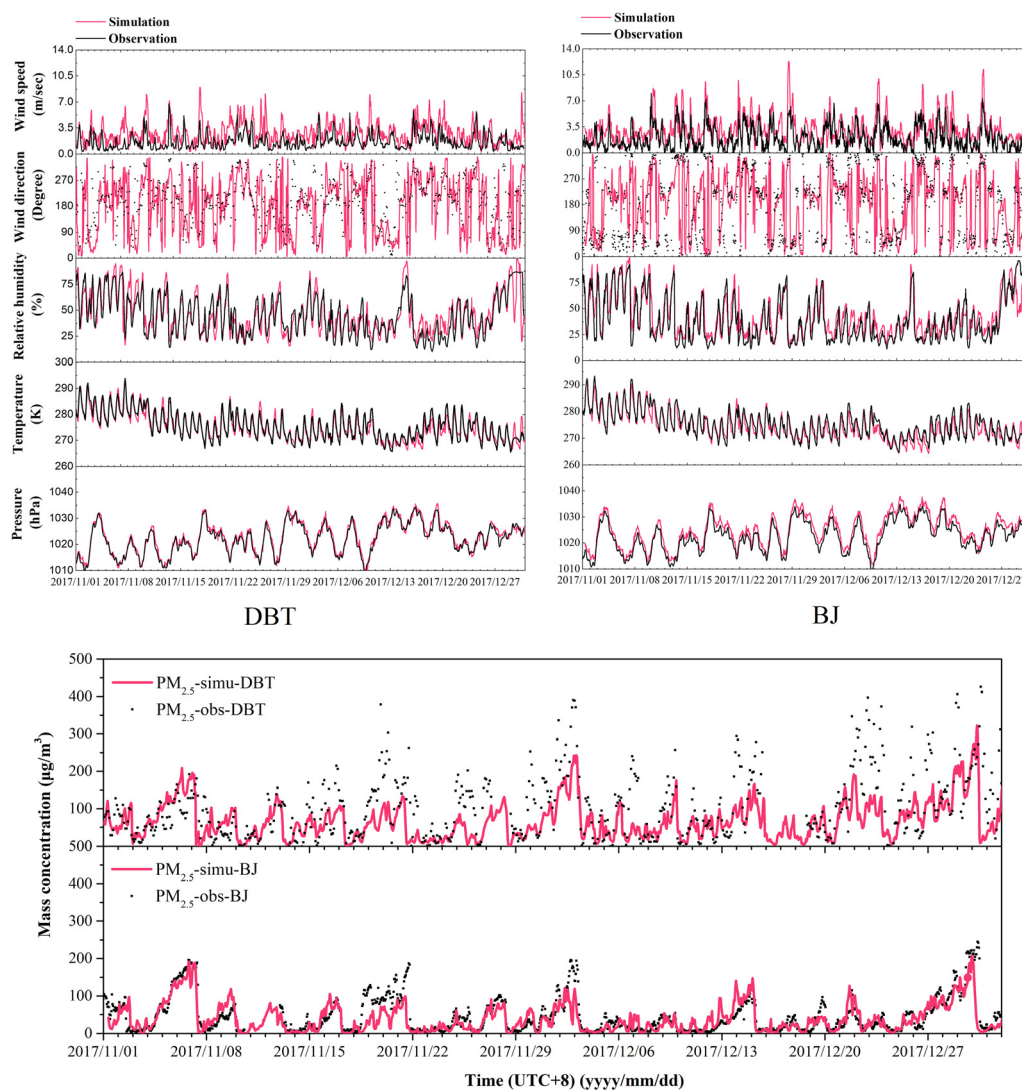
To qualitatively identify the contribution of photochemical reactions to the SIA, the proportions of the components to PM<sub>2.5</sub> in daytime and nighttime were respectively analyzed, which are shown in Fig. 2b. It is evident that the proportions of the major components in PM<sub>2.5</sub> varied significantly from nighttime to daytime, e.g., SO<sub>4</sub><sup>2-</sup> increased from 9.9% to 13.8%, NO<sub>3</sub><sup>-</sup> from 10.9% to 16.2% and NH<sub>4</sub><sup>+</sup> from 9.0% to 11.1%, whereas OC decreased from 46.5% to 37.6% and EC from 14.0% to 10.9%, implying that the daytime photochemical reactions still made remarkable contributions to the SIA under the cold winter condition. Although the daytime photochemical processes can also make contribution to atmospheric secondary organic aerosols (SOA, part of the OC) through photooxidation of volatile organic compounds (VOCs) (Li et al., 2017), the ra-



**Fig. 2 – Mass proportions of the key species in PM<sub>2.5</sub> (a) during the pre-heating and heating period and (b) in nighttime and daytime during the heating period at the DBT site.**



**Fig. 3 – Time series of PM<sub>2.5</sub> mass concentration, WS and WD in DBT rural area and BJ (Beijing) urban area.**



**Fig. 4 – Time series of PM<sub>2.5</sub> and meteorological conditions simulation and observation in DBT and BJ.**

tio of OC to EC only slightly increased from 3.32 in nighttime to 3.45 in daytime, indicating that the atmospheric OC at the rural site was dominated by the primary emission from residential coal combustion.

In contrast to the remarkable reduction of the proportions of OC and EC in daytime, the proportion of Cl<sup>-</sup> was almost kept constant in both daytime and nighttime. Therefore, the daytime photochemical reactions were suspected to make evident contribution to the water-soluble Cl<sup>-</sup> besides the direct emission from the residential coal combustion, e.g., ClNO<sub>2</sub> and Cl<sub>2</sub> formed through the heterogenous reactions in nighttime (Yun et al., 2018) or direct emission from the coal combustion can be easily photolyzed in daytime to Cl atoms which react fast with alkanes to form water-soluble HCl. Unexpectedly high levels (several hundred pptV) of ClNO<sub>2</sub>, Cl<sub>2</sub> and ClBr were also found by Hong kong polytechnic university during the field campaign at the DBT site, which was suspected to play important role in initiating photochemical reactions.

### 2.3. Comparison of PM<sub>2.5</sub> pollution levels between DBT and Beijing city

Our previous investigations (Liu et al., 2017c) revealed that the pollution levels of PM<sub>2.5</sub> in autumn and winter were

significantly higher in the rural area of DBT than in Beijing city. Synchro-comparison of PM<sub>2.5</sub> levels between the DBT and Beijing was further conducted in this study to reveal relative effect of the strict control measures in the rural areas. As shown in Fig. 3, the PM<sub>2.5</sub> levels at both the sampling sites of DBT and Gucheng (one of national monitoring sites in Beijing city) were approximately same during the pre-heating period, which was in contrast with our previous comparisons about much higher PM<sub>2.5</sub> concentrations in DBT, indicating the control measures especially for biomass burning might be effective. However, the PM<sub>2.5</sub> levels at the DBT were still a factor of 2 higher than those at the sampling site of Beijing for almost all pollution episodes during the heating period. Besides the strong emissions of residential coal combustion in DBT, meteorological factors were also suspected to play important role to account for the large difference between DBT and Beijing, e.g., the WS were evidently faster in Beijing than in DBT during the heating period (Fig. 3). The regional emission strengths must evidently increase during the heating period due to additional fossil fuel energy consumption for heating despite the implementation of stricter control measures in the BTH region, whereas the peak levels of PM<sub>2.5</sub> at the sampling site of Beijing during the pre-heating period were higher than those of the most pollution cases during the heating period. To verify that the reduction of PM<sub>2.5</sub> in Beijing during





**Fig. 5 – Results of PMF (positive matrix factor) analysis with 9 species during (a) pre-heating and (b) heating period in DBT rural area and (c) results of PMF analysis with 17 species during heating period in DBT rural area.**

the heating period was ascribed to meteorological factors, a WRF-Chem model was adopted for simulation with input of a fixed inventory (MEIC-2016) during both the pre-heating and the heating periods. The results are shown in Fig. 4 and the statistical parameters of MB, RMSE and IOA are present in Table 3. The WS both in Beijing (measurement at meteorological station of No. 54511, 39.82°N, 116.48°E) and DBT were generally overestimated by the model with the relatively low MB of 1.37 and 1.25 m/sec, the relatively low RMSEs of 1.91 and 2.09 m/sec and the considerable IOA of 0.58 and 0.66 (when IOA is higher, the performance of simulation is better), which were mainly ascribed to the WS measured and simulated were at different heights (e.g., ~5 m for the measurements at DBT and 10 m for the simulation). Whereas the daily variation trends of WS, WD, T and RH of the model simulation were in good agreement with the measurements, the MB and RMSE of simulation for T and RH in DBT and BJ (Beijing) were relatively low and the IOA of simulation for T and RH were relatively high (> 0.9) (Table 3). Thus, the influence of meteorological factors on PM<sub>2.5</sub> concentration could be distinguished by the

model simulation. As expected, the daily variation trends of PM<sub>2.5</sub> simulated by the model with a considerable IOA of 0.75 in DBT and a relatively high IOA of 0.90 in BJ were well in line with the measurements in both Beijing and DBT (Fig. 4) due to well simulation of the variation trends for meteorological factors by the model. The PM<sub>2.5</sub> concentrations simulated in DBT during the pre-heating period were comparable to those during the heating period, whereas in Beijing the simulated PM<sub>2.5</sub> concentrations during the pre-heating period were evidently higher than those during the heating period, confirming that the meteorological factors were more favorable for dispersion of pollutants in Beijing than in DBT during the heating periods. Additionally, the PM<sub>2.5</sub> concentrations simulated in Beijing were in good agreement with the measurements with a relatively low MB of -3.63 µg/m<sup>3</sup> and a relatively low RMSE of 25.35 µg/m<sup>3</sup> during both pre-heating and heating periods, whereas in DBT the simulated PM<sub>2.5</sub> concentrations with a larger MB of -35.70 µg/m<sup>3</sup> and a larger RMSE of 75.64 µg/m<sup>3</sup> were significantly lower than the measurements during the heating period, implying that the emission from residential



**Table 3 – Performance of model simulation at DBT rural site and BJ urban area.**

Site	Statistical parameter	PM <sub>2.5</sub> (µg/m <sup>3</sup> )	Wind speed (m/sec)	T (°C)	RH (%)
DBT	MB	-35.70	1.37	-0.20	0.79
	RMSE	75.64	1.91	1.83	10.83
	IOA	0.75	0.58	0.97	0.92
BJ	MB	-3.63	1.25	-0.66	-3.16
	RMSE	25.35	2.09	2.26	13.82
	IOA	0.90	0.68	0.95	0.84

MB: mean bias; RMSE: root mean squared error; IOA: index of agreement.

coal combustion was largely underestimated in the inventory adopted in the model. Compared with the observation, the simulated PM<sub>2.5</sub> was underestimated by 47.64 µg/m<sup>3</sup> during the heating period. Based on the source analysis results in Section 2.4, the coal combustion source during the heating period accounted for 40.52%, being equal to 41.9 µg/m<sup>3</sup> which was in line with the underestimation of the simulated PM<sub>2.5</sub>.

#### 2.4. Source apportionment using PMF in DBT rural area

The source apportionment was respectively conducted for the pre-heating and heating periods by using the PMF analysis (Sun et al., 2016, 2014, 2004) based on pre-treated observation data including 9 species, 60 samples during pre-heating period and 474 samples during heating period in this study. The results are illustrated in Fig. 5a and b. Obviously, four distinct sources could be identified for both the pre-heating and heating periods with the Q value of 455 and 4668, that are the best

results because the Q value was approximately equal to the product of the number of species and the number of samples. The first source was designated to biomass burning based on the dominant species of K<sup>+</sup>, Cl<sup>-</sup>, OC and EC (Zhang et al., 2019). The second source was attributed to raising dust because it was dominated by Ca<sup>2+</sup> and Mg<sup>2+</sup> which are the tracers of raising dust (Yu et al., 2019). It should be mentioned that SO<sub>4</sub><sup>2-</sup> also accounted for significant percentage in this source especially during the pre-heating period. As lime milk and calcium oxide are widely used as desulfurizers for coal fired power plants, coal fired power plants were also suspected to make certain contribution to this source sector besides raising dust. The third source was designated to be secondary inorganic aerosols which are characterized by SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup>. The fourth source was residential coal combustion because of the dominant species of OC, EC and Cl<sup>-</sup> which are the typical tracers of coal combustion (Johnson et al., 2006; Kundu et al., 2010). Compared with the pre-heating period, the percentage contribution of residential coal combustion remarkably increased from 35.95% to 42.07%, whereas that of raising dust decreased from 21.29% to 14.16% during the heating period, which were in line with the fact of extensive residential coal combustion for heating and relatively low wind speed during the heating period.

To further estimate the contributions of residential coal combustion and other sources to atmospheric PM<sub>2.5</sub> during heating period in DBT rural area, the typical source tracers such as OC, EC and trace metal element were also added in the PMF during heating period in this study. As shown in Fig. 5c, the first factor identified as secondary inorganic aerosols (SIA) source had high loads of NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup>, contributed 21.2% of PM<sub>2.5</sub> in DBT rural area. The first factor also had distinct load of element Se, indicating that the SIA source in DBT might be mainly ascribed to coal combustion, because element Se is an essential tracer of coal combustion (Eldred, 2012; Thurston et al., 2011; Xie et al., 2006). The second factor identified as soil source had high loads of crustal elements

**Table 4 – Comparison of source apportionment results in this study to previous study.**

Site	Date	SIA	BB	RCC	TRAN	DUST	Reference
DBT (rural)	November-December, 2017	21.2%	16.4%	40.5%	10.8%	8.6%	This study
DBT (rural)	Winter, 2015	-	-	58%	-	-	Liu et al., 2017c
WD (urban)	Winter, 2015	-	-	43%	-	-	Liu et al., 2017c
BD (urban)	Winter, 2015	-	-	49%	-	-	Liu et al., 2017c
BJ (urban)	Winter, 2015	-	-	32%	-	-	Liu et al., 2017c
BJ (urban)	2001-2004	19%	12%	16%	28%	20%	Zhang et al., 2007
BJ (urban)	2012-2013	48.2%	8.7%	14.1%	12.4%	5.3%	Li et al., 2019
BJ (urban)	2012-2013	48.1%	11.7%	11.1%	24.7%	4.3%	Ziková et al., 2016
LZ (urban)	2014-2015	16.6%	8.0%	22.3%	21.7%	21.8%	Wang et al., 2016c
TJ (urban)	June-August, 2015	26.1%	10.2%	16.5%	25.4%	25.4%	Liu et al., 2017a
TJ (urban)	December 2013 - January 2014	25.5%	4.2%	44.6%	13.1%	12.6%	Wang et al., 2016b
BJ (urban)	2014-2015	40.5%	4.5%	5.6%	24.9%	8.6%	Huang et al., 2017
TJ (urban)	2014-2015	29.2%	5.3%	12.4%	15.2%	11.7%	Huang et al., 2017
SJZ (urban)	2014-2015	36.4%	2.8%	15.5%	17.3%	8.5%	Huang et al., 2017
BJ (urban)	2013-2014	-	-	14.3%-16.1%	19.9%-22.4%	9.2%-10.3%	EPB
TJ (urban)	2013-2014	-	-	17.8%-21.1%	13.2%-15.6%	19.8%-23.4%	EPB
SJZ (urban)	2013-2014	-	-	20.0%-21.9%	10.5%-11.6%	15.8%-17.3%	EPB
BJ (urban)	January, April, July and October in 2014	19.9%	-	24.0%	25.2%	12.9%	Gao et al., 2018
TJ (urban)	January, April, July and October in 2014	13.1%	-	25.6%	23.5%	26.4%	Gao et al., 2018
LF (urban)	January, April, July and October in 2014	4.3%	-	40.7%	12.0%	21.4%	Gao et al., 2018
BD (urban)	January, April, July and October in 2014	9.7%	-	34.8%	14.0%	16.9%	Gao et al., 2018

EPB: Beijing Municipal Environmental Protection Bureau (<http://www.bjepb.gov.cn/>), Tianjin Municipal Environmental Protection Bureau; BB: biomass burning; SIA: secondary inorganic aerosols; RCC: residential coal combustion; TRAN: vehicle/traffic/transportation emission; DUST: soil/dust sources; BD: Baoding; BJ: Beijing; LZ: Lanzhou; TJ: Tianjin; SJZ: Shijiazhuang; LF: Langfang.

including Si, Ti, Fe and Mn (Park et al., 2019), contributed to PM<sub>2.5</sub> of 2.5%. The third factor identified as biomass burning source had high loads of K<sup>+</sup>, Cl<sup>-</sup>, OC and EC (Zhang et al., 2019), contributed to 16.4% of PM<sub>2.5</sub>. The fourth factor identified as raising dust source had high loads of Mg<sup>2+</sup> and Ca<sup>2+</sup> which are the tracers of raising dust (Yu et al., 2019), contributed to 8.6% of PM<sub>2.5</sub>. The fifth factor identified as residential coal combustion source had high loads of OC, EC, Cl<sup>-</sup> and Se, because all of OC, EC, Cl<sup>-</sup> and Se are the typical tracers of coal combustion (Johnson et al., 2006; Kundu et al., 2010). The residential coal combustion source contributed to 40.5% of PM<sub>2.5</sub> in DBT. The sixth factor identified as vehicle emission source had high loads of Cu, Pb and Zn (Ding et al., 2019), because Zn and Cu are the typical tracers of tire wear (Hjortenkrans et al., 2007) and Pb as well as Zn are known to be emitted from lubricating oil (Belis et al., 2013; Zhou et al., 2012) and oil additives (Ålander et al., 2005). The vehicle emission source contributed to 10.8% of PM<sub>2.5</sub> in DBT. The contribution of residential coal combustion in DBT rural site during heating period was significantly higher than those in most of urban areas reported by previous studies (Table 4). Besides, the contribution of biomass burning was also higher than those in most of urban areas reported by previous studies, the contribution of secondary inorganic aerosols and transportation was slightly lower than that in most of urban area in previous studies. Compared to the previous studies in the same site, the contribution of residential coal combustion in DBT rural area (40.6%) was less than that in 2015 (58%) (Liu et al., 2017c), confirming that the implementation of strict control measures was effective.

### 3. Conclusions

Compared with the pre-heating period, the significant increase of the absolute concentrations as well as the proportions of OC, EC and Cl<sup>-</sup> in PM<sub>2.5</sub> during the heating period indicated that residential coal combustion for heating was still the major PM<sub>2.5</sub> source in the rural area. The proportions of SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> in PM<sub>2.5</sub> evidently increased with decreasing the proportions of OC and EC from nighttime to daytime, suggesting the daytime photochemical reactions made significant contribution to the secondary inorganic aerosols, rather than secondary organic aerosols. The relatively constant proportion of Cl<sup>-</sup> in both daytime and nighttime provided evidence that a fraction of Cl<sup>-</sup> in PM<sub>2.5</sub> was from the photolysis of reactive chlorine species besides the direction emission from residential coal combustion, which might play important role in winter atmospheric chemistry of the rural area.

The levels of PM<sub>2.5</sub> and its major components at the rural area of DBT were remarkably reduced in the winter of this investigated year in comparison with previous years, implying that the stricter control measures currently implemented in the rural area were effective for mitigating PM<sub>2.5</sub> pollution. The results of source apportionment based on the measurement data and PMF revealed that residential coal combustion was still the dominant source (~40.5%) for PM<sub>2.5</sub> in the DBT during the heating period, followed by secondary inorganic aerosols (~21.2%), biomass burning (~16.4%), vehicle emission (~10.8%), raising dust (~8.6%) and soil (~2.5%). Therefore, further reduction of the emission from residential coal combustion is still the most effective control measure for improving the air quality in the rural area.

### Declaration of competing interest

The authors declared that they have no conflicts of interest to this work.

We declare that we do not have any commercial or associative interest that represents a conflict of interest in connection with the work submitted.

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